# Fully Private Grouped Matrix Multiplication with Colluding Workers

#### ${\bf Lev}~{\bf Tauz}$ and Lara Dolecek

Electrical and Computer Engineering, University of California, Los Angeles, USA

#### 15th Annual Non-Volatile Memories Workshop 2024





## Outline

#### **1** Background and Motivation

#### **2** Our Scheme

**3** Privacy from the Master

 Distributed systems are a mainstay of modern big data applications due to high parallelization gains



 Distributed systems are a mainstay of modern big data applications due to high parallelization gains ... in theory



- Distributed systems are a mainstay of modern big data applications due to high parallelization gains ... in theory
- With new pay-to-compute services, outsourcing work leads to new issues:



- Distributed systems are a mainstay of modern big data applications due to high parallelization gains ... in theory
- With new pay-to-compute services, outsourcing work leads to new issues:
  - Straggling Workers



- Distributed systems are a mainstay of modern big data applications due to high parallelization gains ... in theory
- With new pay-to-compute services, outsourcing work leads to new issues:
  - Straggling Workers
    Privacy Concerns



 Coded computation addresses these issues by encoding data in a smart way



- Coded computation addresses these issues by encoding data in a smart way
- Shown great success in Distributed Large Matrix Multiplication



- Coded computation addresses these issues by encoding data in a smart way
- Shown great success in Distributed Large Matrix Multiplication
  - Fundamental building block of modern machine learning algorithms



- Coded computation addresses these issues by encoding data in a smart way
- Shown great success in Distributed Large Matrix Multiplication
  - Fundamental building block of modern machine learning algorithms
- In this work, we focus on private Distributed Large Matrix Multiplication as the primary use-case



- Coded computation addresses these issues by encoding data in a smart way
- Shown great success in Distributed Large Matrix Multiplication
  - Fundamental building block of modern machine learning algorithms
- In this work, we focus on private Distributed Large Matrix Multiplication as the primary use-case
- We start by discussing previously considered privacy models





Example: Secure Matrix Multiplication (Yu '20)

5/32







 The master wants to hide the number of requests/batches it wishes to compute

 The master wants to hide the number of requests/batches it wishes to compute

► Example:



- The master wants to hide the number of requests/batches it wishes to compute
- ► Example:
  - Consider a system where workers store two libraries {A<sub>1</sub>, A<sub>2</sub>} and {B<sub>1</sub>}



- The master wants to hide the number of requests/batches it wishes to compute
- ► Example:
  - Consider a system where workers store two libraries {A<sub>1</sub>, A<sub>2</sub>} and {B<sub>1</sub>}
    The user can request any group of matrix products e.g. {A<sub>1</sub>B<sub>1</sub>}, {A<sub>2</sub>B<sub>1</sub>}, and {A<sub>1</sub>B<sub>1</sub>, A<sub>2</sub>B<sub>1</sub>}



- The master wants to hide the number of requests/batches it wishes to compute
- ► Example:
  - Consider a system where workers store two libraries {A<sub>1</sub>, A<sub>2</sub>} and {B<sub>1</sub>}
  - The user can request any group of matrix products
     e.g. {A<sub>1</sub>B<sub>1</sub>}, {A<sub>2</sub>B<sub>1</sub>}, and {A<sub>1</sub>B<sub>1</sub>, A<sub>2</sub>B<sub>1</sub>}
  - If workers knew the batch size is 1, they reduce the space of possibilities



- The master wants to hide the number of requests/batches it wishes to compute
- ► Example:
  - Consider a system where workers store two libraries {A<sub>1</sub>, A<sub>2</sub>} and {B<sub>1</sub>}
  - The user can request any group of matrix products
     e.g. {A<sub>1</sub>B<sub>1</sub>}, {A<sub>2</sub>B<sub>1</sub>}, and {A<sub>1</sub>B<sub>1</sub>, A<sub>2</sub>B<sub>1</sub>}
  - If workers knew the batch size is 1, they reduce the space of possibilities
  - If workers knew the batch size is 2, they know the exact request



- The master wants to hide the number of requests/batches it wishes to compute
- ► Example:
  - Consider a system where workers store two libraries {A<sub>1</sub>, A<sub>2</sub>} and {B<sub>1</sub>}
  - ◆ The user can request any group of matrix products e.g. {A<sub>1</sub>B<sub>1</sub>}, {A<sub>2</sub>B<sub>1</sub>}, and {A<sub>1</sub>B<sub>1</sub>, A<sub>2</sub>B<sub>1</sub>}
  - If workers knew the batch size is 1, they reduce the space of possibilities
  - If workers knew the batch size is 2, they know the exact request



 Can even be *problematic* to systems without *batch size limits*

6/32

### Motivating Examples

#### Inferring Size of Neural Networks

• Using batched coded computing, a user can train a network using model parallelism



### Motivating Examples

#### Inferring Size of Neural Networks

- Using batched coded computing, a user can train a network using model parallelism
- Batch size can help infer the size of the network



### Motivating Examples

 Identifying Entities in the System



### Motivating Examples

- Identifying Entities in the System
  - Batch size can help identify the identities of users or the use case of the request



### Motivating Examples

- Identifying Entities in the System
  - Batch size can help identify the identities of users or the use case of the request
  - <u>Small IOT devices</u> would generally request small batches



### Motivating Examples

- Identifying Entities in the System
  - Batch size can help identify the identities of users or the use case of the request
  - <u>Small IOT devices</u> would generally request small batches
  - Large analytic engines would request large batch sizes



➤ In this work, we consider a demonstrative problem setting that incorporates both request and batch size privacy

- ➤ In this work, we consider a demonstrative problem setting that incorporates both request and batch size privacy
- ➤ For simplicity, we consider a scenario where workers store all the data and, thus, no data privacy is necessary

- ➤ In this work, we consider a demonstrative problem setting that incorporates both request and batch size privacy
- ➤ For simplicity, we consider a scenario where workers store all the data and, thus, no data privacy is necessary
- > The user is allowed to request any number of matrix products among the data stored at the workers

- ➤ In this work, we consider a demonstrative problem setting that incorporates both request and batch size privacy
- ➤ For simplicity, we consider a scenario where workers store all the data and, thus, no data privacy is necessary
- The user is allowed to request any number of matrix products among the data stored at the workers
- We name this new system Fully Private Grouped Matrix Multiplication (FPGMM)

# Fully Private Grouped Matrix Multiplication (FPGMM)

➤ Assume workers store two libraries  $\mathbf{A}_{[L_A]} = \{\mathbf{A}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_A]\}$ and  $\mathbf{B}_{[L_B]} = \{\mathbf{B}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_B]\}$ 

# Fully Private Grouped Matrix Multiplication (FPGMM)

- ➤ Assume workers store two libraries  $\mathbf{A}_{[L_A]} = \{\mathbf{A}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_A]\}$ and  $\mathbf{B}_{[L_B]} = \{\mathbf{B}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_B]\}$
- ➤ A master selects uniformly at random a non-empty set  $S \subseteq \{(i, j) : i \in [L_A], j \in [L_B]\}$

# Fully Private Grouped Matrix Multiplication (FPGMM)

- ➤ Assume workers store two libraries  $\mathbf{A}_{[L_A]} = { \mathbf{A}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_A] }$ and  $\mathbf{B}_{[L_B]} = { \mathbf{B}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_B] }$
- ➤ A master selects uniformly at random a non-empty set  $S \subseteq \{(i, j) : i \in [L_A], j \in [L_B]\}$
- ➤ Goal: Calculate the matrix products  $\mathbf{C}_{\mathcal{S}} \triangleq {\mathbf{A}_i \mathbf{B}_j : (i, j) \in \mathcal{S}}$  with the following requirements:
- ➤ Assume workers store two libraries  $\mathbf{A}_{[L_A]} = { \mathbf{A}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_A] }$ and  $\mathbf{B}_{[L_B]} = { \mathbf{B}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_B] }$
- ➤ A master selects uniformly at random a non-empty set  $S \subseteq \{(i, j) : i \in [L_A], j \in [L_B]\}$
- ➤ Goal: Calculate the matrix products  $\mathbf{C}_{\mathcal{S}} \triangleq {\mathbf{A}_i \mathbf{B}_j : (i, j) \in \mathcal{S}}$  with the following requirements:
- Small recovery threshold *R*, i.e. the minimum number of worker results needed in order for the master to decode

- ➤ Assume workers store two libraries  $\mathbf{A}_{[L_A]} = { \mathbf{A}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_A] }$ and  $\mathbf{B}_{[L_B]} = { \mathbf{B}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_B] }$
- ➤ A master selects uniformly at random a non-empty set  $S \subseteq \{(i, j) : i \in [L_A], j \in [L_B]\}$
- ➤ Goal: Calculate the matrix products  $\mathbf{C}_{\mathcal{S}} \triangleq {\mathbf{A}_i \mathbf{B}_j : (i, j) \in \mathcal{S}}$  with the following requirements:
- Small recovery threshold *R*, i.e. the minimum number of worker results needed in order for the master to decode
  - Measure of straggler resilience

- ➤ Assume workers store two libraries  $\mathbf{A}_{[L_A]} = { \mathbf{A}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_A] }$ and  $\mathbf{B}_{[L_B]} = { \mathbf{B}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_B] }$
- ➤ A master selects uniformly at random a non-empty set  $S \subseteq \{(i, j) : i \in [L_A], j \in [L_B]\}$
- ➤ Goal: Calculate the matrix products  $\mathbf{C}_{\mathcal{S}} \triangleq {\mathbf{A}_i \mathbf{B}_j : (i, j) \in \mathcal{S}}$  with the following requirements:
- Small recovery threshold *R*, i.e. the minimum number of worker results needed in order for the master to decode
  - Measure of straggler resilience
- Flexible computation and communication overhead

- > Assume workers store two libraries  $\mathbf{A}_{[L_A]} = {\mathbf{A}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_A]}$ and  $\mathbf{B}_{[L_B]} = {\mathbf{B}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_B]}$
- ➤ A master selects uniformly at random a non-empty set  $S \subseteq \{(i, j) : i \in [L_A], j \in [L_B]\}$
- ➤ Goal: Calculate the matrix products  $C_{\mathcal{S}} \triangleq \{A_i B_j : (i, j) \in \mathcal{S}\}$  with the following requirements:
- Small recovery threshold *R*, i.e. the minimum number of worker results needed in order for the master to decode
  - Measure of straggler resilience
- ◆ Flexible computation and communication overhead
- Privacy against any T colluding workers

- ➤ Assume workers store two libraries  $\mathbf{A}_{[L_A]} = { \mathbf{A}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_A] }$ and  $\mathbf{B}_{[L_B]} = { \mathbf{B}_i \in \mathbb{F}^{\alpha \times \alpha}, \forall i \in [L_B] }$
- ➤ A master selects uniformly at random a non-empty set  $S \subseteq \{(i, j) : i \in [L_A], j \in [L_B]\}$
- ➤ Goal: Calculate the matrix products  $\mathbf{C}_{\mathcal{S}} \triangleq {\mathbf{A}_i \mathbf{B}_j : (i, j) \in \mathcal{S}}$  with the following requirements:
- Small recovery threshold *R*, i.e. the minimum number of worker results needed in order for the master to decode
  - Measure of straggler resilience
- Flexible computation and communication overhead
- Privacy against any T colluding workers
  - Any group of T workers cannot learn anything about S given their received queries, even the cardinality of S

## Model Overview



▶ In most coded computation settings, the workers are allowed to know something about the encoding process

- ▶ In most coded computation settings, the workers are allowed to know something about the encoding process
  - For example, in polynomial coded based schemes, it is ok for workers to know the degree of the polynomial

- ➤ In most coded computation settings, the workers are allowed to know something about the encoding process
  - For example, in polynomial coded based schemes, it is ok for workers to know the degree of the polynomial
- Since batch size impacts the encoding process, we need to further limit the knowledge of the workers to ensure BSP

- ➤ In most coded computation settings, the workers are allowed to know something about the encoding process
  - For example, in polynomial coded based schemes, it is ok for workers to know the degree of the polynomial
- Since batch size impacts the encoding process, we need to further limit the knowledge of the workers to ensure BSP
- > A related topic is *function privacy* which focused on:

- ➤ In most coded computation settings, the workers are allowed to know something about the encoding process
  - For example, in polynomial coded based schemes, it is ok for workers to know the degree of the polynomial
- Since batch size impacts the encoding process, we need to further limit the knowledge of the workers to ensure BSP
- > A related topic is *function privacy* which focused on:
  - Complex Polynomial Evaluations (Raviv '19)

- ➤ In most coded computation settings, the workers are allowed to know something about the encoding process
  - For example, in polynomial coded based schemes, it is ok for workers to know the degree of the polynomial
- Since batch size impacts the encoding process, we need to further limit the knowledge of the workers to ensure BSP
- > A related topic is *function privacy* which focused on:
  - Complex Polynomial Evaluations (Raviv '19)
  - ◆ Simple Linear Combinations (Sun '18)

- ➤ In most coded computation settings, the workers are allowed to know something about the encoding process
  - For example, in polynomial coded based schemes, it is ok for workers to know the degree of the polynomial
- Since batch size impacts the encoding process, we need to further limit the knowledge of the workers to ensure BSP
- ► A related topic is *function privacy* which focused on:
  - Complex Polynomial Evaluations (Raviv '19)
  - ◆ Simple Linear Combinations (Sun '18)
- Our work falls between these two regimes since we focus on the bi-linear operation of matrix multiplication and batch processing

- ➤ In most coded computation settings, the workers are allowed to know something about the encoding process
  - For example, in polynomial coded based schemes, it is ok for workers to know the degree of the polynomial
- Since batch size impacts the encoding process, we need to further limit the knowledge of the workers to ensure BSP
- > A related topic is *function privacy* which focused on:
  - Complex Polynomial Evaluations (Raviv '19)
  - ◆ Simple Linear Combinations (Sun '18)
- Our work falls between these two regimes since we focus on the bi-linear operation of matrix multiplication and batch processing
- ▶ Additionally, in FPGMM, data can be re-used across multiple request, unlike other works that focus on distinct data

By further limiting the knowledge of the workers, many state-of-the-art coded computation schemes are severly limited in:

By further limiting the knowledge of the workers, many state-of-the-art coded computation schemes are *severly limited* in:
 Optimizing the communication and computation costs

By further limiting the knowledge of the workers, many state-of-the-art coded computation schemes are *severly limited* in:
 Optimizing the communication and computation costs (this paper)

- By further limiting the knowledge of the workers, many state-of-the-art coded computation schemes are severly limited in:
  - Optimizing the communication and computation costs (this paper)
    Providing other forms of privacy such as privacy from the master

- By further limiting the knowledge of the workers, many state-of-the-art coded computation schemes are severly limited in:
  - Optimizing the communication and computation costs (this paper)
     Providing other forms of privacy such as privacy from the master (ongoing work)



**1** Background and Motivation

#### 2 Our Scheme

**3** Privacy from the Master

Tauz, Dolecek (UCLA)

# Useful Tool: Interpolation of Rational Functions

#### Rational Polynomial with Fixed Poles

$$F(z) = \sum_{i=1}^{N} \sum_{j=1}^{u_i} \frac{e_{i,j}}{(z - f_i)^j} + \sum_{j=0}^{K-M-1} e_{0,j} z$$

 $f_1, f_2, \ldots, f_N$  are fixed

 $\blacktriangleright$  Can interpolate F(z) if the number of interpolation points is equal to the number of rational and polynomial terms (Gasca '89)



# Useful Tool: Interpolation of Rational Functions

#### Rational Polynomial with Fixed Poles

$$F(z) = \sum_{i=1}^{N} \sum_{j=1}^{u_i} \frac{e_{i,j}}{(z - f_i)^j} + \sum_{j=0}^{K-M-1} e_{0,j} z$$

 $f_1, f_2, \ldots, f_N$  are fixed

- $\blacktriangleright$  Can interpolate F(z) if the number of interpolation points is equal to the number of rational and polynomial terms (Gasca '89)
- > Additionally, there are **fast** methods of interpolation that are comparable to polynomial interpolation (Olshevsky '01)



# Another Tool: Cross-Subspace Alignment Codes

 Cross Subspace Alignment (CSA) codes are a coded computation scheme for calculating batches of matrix products



# Another Tool: Cross-Subspace Alignment Codes

- Cross Subspace Alignment (CSA) codes are a coded computation scheme for calculating batches of matrix products
- Utilizes rational functions in order to encode the data and extract the desired terms



# Another Tool: Cross-Subspace Alignment Codes

- Cross Subspace Alignment (CSA) codes are a coded computation scheme for calculating batches of matrix products
- Utilizes rational functions in order to encode the data and extract the desired terms
- Allows for flexibility in communication and computation costs due to its unique grouping capability









 Grouping reduces the recovery threshold at the cost of more computation



 Grouping reduces the recovery threshold at the cost of more computation

The number of groups reveals information about the batch size which breaks privacy

Tauz, Dolecek (UCLA)

Consider the following partitioning of the matrices given parameters m and n:

$$\mathbf{A}_{i} = \begin{bmatrix} \mathbf{A}_{i,1} \\ \vdots \\ \mathbf{A}_{i,m} \end{bmatrix} \forall i \in [L_{A}], \mathbf{B}_{j} = \begin{bmatrix} \mathbf{B}_{j,1} & \cdots & \mathbf{B}_{j,n} \end{bmatrix}, \forall j \in [L_{B}] \quad (1)$$

Consider the following partitioning of the matrices given parameters m and n:

$$\mathbf{A}_{i} = \begin{bmatrix} \mathbf{A}_{i,1} \\ \vdots \\ \mathbf{A}_{i,m} \end{bmatrix} \forall i \in [L_{A}], \mathbf{B}_{j} = \begin{bmatrix} \mathbf{B}_{j,1} & \cdots & \mathbf{B}_{j,n} \end{bmatrix}, \forall j \in [L_{B}] \quad (1)$$

> If the master wants  $\mathbf{A}_i \mathbf{B}_j$ , then a sufficient condition is to get  $\mathbf{A}_i \mathbf{B}_j = {\mathbf{A}_{i,a} \mathbf{B}_{j,b}}_{a \in [m], b \in [n]}$ 

> Consider the following partitioning of the matrices given parameters m and n:

$$\mathbf{A}_{i} = \begin{bmatrix} \mathbf{A}_{i,1} \\ \vdots \\ \mathbf{A}_{i,m} \end{bmatrix} \forall i \in [L_{A}], \mathbf{B}_{j} = \begin{bmatrix} \mathbf{B}_{j,1} & \cdots & \mathbf{B}_{j,n} \end{bmatrix}, \forall j \in [L_{B}] \quad (1)$$

- > If the master wants  $\mathbf{A}_i \mathbf{B}_j$ , then a sufficient condition is to get  $\mathbf{A}_i \mathbf{B}_j = {\mathbf{A}_{i,a} \mathbf{B}_{j,b}}_{a \in [m], b \in [n]}$
- > Hence, a sufficient condition to get  $\mathbf{C}_{\mathcal{S}}$  is to calculate  $\{\mathbf{A}_{i,q}\mathbf{B}_{j,s}: (i,j) \in \mathcal{S}, (q,s) \in [m] \times [n]\}$

Consider the following partitioning of the matrices given parameters m and n:

$$\mathbf{A}_{i} = \begin{bmatrix} \mathbf{A}_{i,1} \\ \vdots \\ \mathbf{A}_{i,m} \end{bmatrix} \forall i \in [L_{A}], \mathbf{B}_{j} = \begin{bmatrix} \mathbf{B}_{j,1} & \cdots & \mathbf{B}_{j,n} \end{bmatrix}, \forall j \in [L_{B}] \quad (1)$$

- > If the master wants  $\mathbf{A}_i \mathbf{B}_j$ , then a sufficient condition is to get  $\mathbf{A}_i \mathbf{B}_j = {\mathbf{A}_{i,a} \mathbf{B}_{j,b}}_{a \in [m], b \in [n]}$
- > Hence, a sufficient condition to get  $C_{\mathcal{S}}$  is to calculate  $\{\mathbf{A}_{i,q}\mathbf{B}_{j,s}: (i,j) \in \mathcal{S}, (q,s) \in [m] \times [n]\}$
- > This is another instance of FPGMM but the batch size is now a multiple of mn

### Grouping Independently of the Batch Size

▶ Let us re-index the submatrices as follows:

$$\widetilde{\mathbf{A}}_{i} = \mathbf{A}_{\lfloor \frac{i-1}{m} \rfloor + 1, (i-1 \mod m) + 1}, i \in [mL_{A}]$$
$$\widetilde{\mathbf{B}}_{j} = \mathbf{B}_{\lfloor \frac{j-1}{n} \rfloor + 1, (j-1 \mod n) + 1}, j \in [nL_{B}]$$

Grouping Independently of the Batch Size

▶ Let us re-index the submatrices as follows:

$$\widetilde{\mathbf{A}}_{i} = \mathbf{A}_{\lfloor \frac{i-1}{m} \rfloor + 1, (i-1 \mod m) + 1}, i \in [mL_{A}]$$
  
$$\widetilde{\mathbf{B}}_{j} = \mathbf{B}_{\lfloor \frac{j-1}{n} \rfloor + 1, (j-1 \mod n) + 1}, j \in [nL_{B}]$$

> We can define a new FPGMM problem with computation list  $\widetilde{\mathcal{S}}$ :

$$\widetilde{\mathcal{S}} = \{m(i-1) + q, n(j-1) + s : (i,j) \in \mathcal{S}, (q,s) \in [m] \times [n]\}$$

Grouping Independently of the Batch Size

▶ Let us re-index the submatrices as follows:

$$\widetilde{\mathbf{A}}_{i} = \mathbf{A}_{\lfloor \frac{i-1}{m} \rfloor + 1, (i-1 \mod m) + 1}, i \in [mL_{A}]$$
  
$$\widetilde{\mathbf{B}}_{j} = \mathbf{B}_{\lfloor \frac{j-1}{n} \rfloor + 1, (j-1 \mod n) + 1}, j \in [nL_{B}]$$

> We can define a new FPGMM problem with computation list  $\widetilde{\mathcal{S}}$ :

$$\widetilde{S} = \{m(i-1) + q, n(j-1) + s : (i,j) \in S, (q,s) \in [m] \times [n]\}$$
  
> Fact:  $mn | \widetilde{S}$
Grouping Independently of the Batch Size

▶ Let us re-index the submatrices as follows:

$$\widetilde{\mathbf{A}}_{i} = \mathbf{A}_{\lfloor \frac{i-1}{m} \rfloor + 1, (i-1 \mod m) + 1}, i \in [mL_{A}]$$
  
$$\widetilde{\mathbf{B}}_{j} = \mathbf{B}_{\lfloor \frac{j-1}{n} \rfloor + 1, (j-1 \mod n) + 1}, j \in [nL_{B}]$$

> We can define a new FPGMM problem with computation list  $\widetilde{\mathcal{S}}$ :

$$\widetilde{\mathcal{S}} = \{m(i-1) + q, n(j-1) + s : (i,j) \in \mathcal{S}, (q,s) \in [m] \times [n]\}$$

- > Fact:  $mn|\widetilde{\mathcal{S}}$
- Key Idea: We can group according to the partitioning parameters and not the original batch size

Grouping Independently of the Batch Size

▶ Let us re-index the submatrices as follows:

$$\widetilde{\mathbf{A}}_{i} = \mathbf{A}_{\lfloor \frac{i-1}{m} \rfloor + 1, (i-1 \mod m) + 1}, i \in [mL_{A}]$$
  
$$\widetilde{\mathbf{B}}_{j} = \mathbf{B}_{\lfloor \frac{j-1}{n} \rfloor + 1, (j-1 \mod n) + 1}, j \in [nL_{B}]$$

> We can define a new FPGMM problem with computation list  $\widetilde{\mathcal{S}}$ :

$$\widetilde{\mathcal{S}} = \{m(i-1) + q, n(j-1) + s : (i,j) \in \mathcal{S}, (q,s) \in [m] \times [n]\}$$

- > Fact:  $mn|\widetilde{\mathcal{S}}$
- Key Idea: We can group according to the partitioning parameters and not the original batch size
- Hence, we can still achieve flexibility in overhead costs without compromising privacy

We breakdown our scheme into 3 main stages:

- **1** Encoding
- **2** Query and Computation
- 3 Decoding

## Encoding Phase: Create Grouping

I The master determines the partitioning parameters m and n and the grouping parameter r such that r|mn

## Encoding Phase: Create Grouping

- 1 The master determines the partitioning parameters m and n and the grouping parameter r such that r|mn
- 2 The master groups  $\widetilde{\mathcal{S}}$  into  $\underline{r}$  equal, non-overlapping groups of size  $\delta = \frac{|\mathcal{S}|mn}{r}$  denoted by  $\mathcal{Q}_1, \dots, \mathcal{Q}_r$

## Encoding Phase: Create Grouping

- 1 The master determines the partitioning parameters m and n and the grouping parameter r such that r|mn
- 2 The master groups  $\widetilde{\mathcal{S}}$  into  $\underline{r}$  equal, non-overlapping groups of size  $\delta = \frac{|\mathcal{S}|mn}{r}$  denoted by  $\mathcal{Q}_1, \dots, \mathcal{Q}_r$
- **B** For each  $(i, j) \in \widetilde{\mathcal{S}}$ , the master associates a distinct element  $f_{i,j}$

#### Encoding Phase: Create Encoding Functions

4 The master than creates the encoding functions for  $k \in [r]$ :

$$a_{i,k}(x) = \omega_k(x) \left( \sum_{\substack{(q,s) \in \mathcal{Q}_k : q=i}} \frac{1}{(x - f_{q,s})} + z_{i,k}^a(x) \right),$$
  
$$b_{j,k}(x) = \sum_{\substack{(q,s) \in \mathcal{Q}_k : s=j}} \frac{1}{(x - f_{q,s})} + z_{j,k}^b(x)$$
  
$$\omega_k(x) = \prod_{\substack{(i,j) \in \mathcal{Q}_k}} (x - f_{i,j})$$

### Encoding Phase: Create Encoding Functions **4** The master then creates the encoding functions for $k \in [r]$ :

$$a_{i,k}(x) = \omega_k(x) \left( \sum_{\substack{(q,s) \in \mathcal{Q}_k: q=i}} \frac{1}{(x - f_{q,s})} + z_{i,k}^a(x) \right),$$
  
$$b_{j,k}(x) = \sum_{\substack{(q,s) \in \mathcal{Q}_k: s=j}} \frac{1}{(x - f_{q,s})} + z_{j,k}^b(x)$$
  
$$\omega_k(x) = \prod_{\substack{(i,j) \in \mathcal{Q}_k}} (x - f_{i,j})$$

•  $z_{i,k}^{a}(x)$  and  $z_{j,k}^{b}(x)$  are random polynomials of degree T-1

### Encoding Phase: Create Encoding Functions **4** The master then creates the encoding functions for $k \in [r]$ :

$$a_{i,k}(x) = \omega_k(x) \left( \sum_{(q,s) \in \mathcal{Q}_k: q=i} \frac{1}{(x - f_{q,s})} + z^a_{i,k}(x) \right),$$
  
$$b_{j,k}(x) = \sum_{(q,s) \in \mathcal{Q}_k: s=j} \frac{1}{(x - f_{q,s})} + z^b_{j,k}(x)$$
  
$$\omega_k(x) = \prod_{(i,j) \in \mathcal{Q}_k} (x - f_{i,j})$$

◆ z<sup>a</sup><sub>i,k</sub>(x) and z<sup>b</sup><sub>j,k</sub>(x) are random polynomials of degree T − 1
● By Shamir's secret sharing scheme, ensures that any T evaluations of a<sub>i,k</sub>(x) and b<sub>j,k</sub>(x) are uniformly random variables

#### Encoding Phase: Create Encoding Functions

**4** The master then creates the encoding functions for  $k \in [r]$ :

$$a_{i,k}(x) = \omega_k(x) \left( \sum_{\substack{(q,s) \in \mathcal{Q}_k: q=i \\ (q,s) \in \mathcal{Q}_k: q=i }} \frac{1}{(x - f_{q,s})} + z^a_{i,k}(x) \right),$$
  
$$b_{j,k}(x) = \sum_{\substack{(q,s) \in \mathcal{Q}_k: s=j \\ (x - f_{q,s})}} \frac{1}{(x - f_{q,s})} + z^b_{j,k}(x)$$
  
$$\omega_k(x) = \prod_{\substack{(i,j) \in \mathcal{Q}_k}} (x - f_{i,j})$$

•  $a_{i,k}(x)$  and  $b_{j,k}(x)$  have the following property:

$$a_{i,k}(x)b_{j,k}(x) = \beta_{i,j,k}(x) + \begin{cases} \frac{\gamma^{i,j,k}}{(x-f_{i,j})} & (i,j) \in \mathcal{Q}_k, \\ 0 & \text{otherwise,} \end{cases}$$

where  $\gamma^{i,j,k}$  is a non-zero constant and  $\beta_{i,j,k}(x)$  is a polynomial of degree  $\delta + 2T - 2$ 

Tauz, Dolecek (UCLA)

I For worker  $g \in [N]$ , the master associates an element  $x_g$  that is distinct from  $\{f_{i,j} : (i,j) \in \widetilde{S}\}$ 

- I For worker  $g \in [N]$ , the master associates an element  $x_g$  that is distinct from  $\{f_{i,j} : (i,j) \in \widetilde{S}\}$
- **2** The master then constructs a query for each worker that contains the following:

- I For worker  $g \in [N]$ , the master associates an element  $x_g$  that is distinct from  $\{f_{i,j} : (i,j) \in \widetilde{S}\}$
- **2** The master then constructs a query for each worker that contains the following:

- I For worker  $g \in [N]$ , the master associates an element  $x_g$  that is distinct from  $\{f_{i,j} : (i,j) \in \widetilde{S}\}$
- **2** The master then constructs a query for each worker that contains the following:

• The partitioning parameters m and n

- I For worker  $g \in [N]$ , the master associates an element  $x_g$  that is distinct from  $\{f_{i,j} : (i,j) \in \widetilde{S}\}$
- **2** The master then constructs a query for each worker that contains the following:
- The partitioning parameters m and n
- The grouping parameter r

- I For worker  $g \in [N]$ , the master associates an element  $x_g$  that is distinct from  $\{f_{i,j} : (i,j) \in \widetilde{S}\}$
- **2** The master then constructs a query for each worker that contains the following:
- The partitioning parameters m and n
- The grouping parameter r
- The encoding coefficients  $\{\{a_{i,k}(x_g)\}_{i=1}^{mL_A}\}_{k=1}^r, \{\{b_{j,k}(x_g)\}_{j=1}^{nL_B}\}_{k=1}^r$

- I For worker  $g \in [N]$ , the master associates an element  $x_g$  that is distinct from  $\{f_{i,j} : (i,j) \in \widetilde{S}\}$
- **2** The master then constructs a query for each worker that contains the following:
- The partitioning parameters m and n
- The grouping parameter r
- The encoding coefficients  $\{\{a_{i,k}(x_g)\}_{i=1}^{mL_A}\}_{k=1}^r, \{\{b_{j,k}(x_g)\}_{j=1}^{nL_B}\}_{k=1}^r$

#### Any T collection of these queries does not reveal anything about $\mathcal{S}$

**3** The worker then partitions the matrices and encodes them using the encoding parameters:

$$\widehat{\mathbf{A}}_k = \sum_{i=1}^{mL_A} \widetilde{\mathbf{A}}_i a_{i,k}(x_g), \widehat{\mathbf{B}}_k = \sum_{j=1}^{nL_B} \widetilde{\mathbf{B}}_j b_{j,k}(x_g)$$

**3** The worker then partitions the matrices and encodes them using the encoding parameters:

$$\widehat{\mathbf{A}}_k = \sum_{i=1}^{mL_A} \widetilde{\mathbf{A}}_i a_{i,k}(x_g), \widehat{\mathbf{B}}_k = \sum_{j=1}^{nL_B} \widetilde{\mathbf{B}}_j b_{j,k}(x_g)$$

**4** The worker computes and outputs:

$$\sum_{k=1}^{r} \widehat{\mathbf{A}}_k \widehat{\mathbf{B}}_k$$

**3** The worker then partitions the matrices and encodes them using the encoding parameters:

$$\widehat{\mathbf{A}}_k = \sum_{i=1}^{mL_A} \widetilde{\mathbf{A}}_i a_{i,k}(x_g), \widehat{\mathbf{B}}_k = \sum_{j=1}^{nL_B} \widetilde{\mathbf{B}}_j b_{j,k}(x_g)$$

**4** The worker computes and outputs:

$$\sum_{k=1}^{r} \widehat{\mathbf{A}}_k \widehat{\mathbf{B}}_k = \sum_{k=1}^{r} \sum_{i=1}^{mL_A} \sum_{j=1}^{nL_B} \widetilde{\mathbf{A}}_i \widetilde{\mathbf{B}}_j a_{i,k}(x_g) b_{j,k}(x_g)$$

**3** The worker then partitions the matrices and encodes them using the encoding parameters:

$$\widehat{\mathbf{A}}_k = \sum_{i=1}^{mL_A} \widetilde{\mathbf{A}}_i a_{i,k}(x_g), \widehat{\mathbf{B}}_k = \sum_{j=1}^{nL_B} \widetilde{\mathbf{B}}_j b_{j,k}(x_g)$$

**4** The worker computes and outputs:

$$\begin{split} \sum_{k=1}^{r} \widehat{\mathbf{A}}_{k} \widehat{\mathbf{B}}_{k} &= \sum_{k=1}^{r} \sum_{i=1}^{mL_{A}} \sum_{j=1}^{nL_{B}} \widetilde{\mathbf{A}}_{i} \widetilde{\mathbf{B}}_{j} a_{i,k}(x_{g}) b_{j,k}(x_{g}) \\ &= \sum_{k=1}^{r} \left( \sum_{(i,j) \in \mathcal{Q}_{k}} \frac{\gamma^{i,j,k} \widetilde{\mathbf{A}}_{i} \widetilde{\mathbf{B}}_{j}}{(x_{g} - f_{i,j})} + \sum_{i=1}^{mL_{A}} \sum_{j=1}^{nL_{B}} \widetilde{\mathbf{A}}_{i} \widetilde{\mathbf{B}}_{j} \beta_{i,j,k}(x_{g}) \right) \\ &= \sum_{(i,j) \in \widetilde{\mathcal{S}}} \frac{\gamma^{i,j,k_{i,j}} \widetilde{\mathbf{A}}_{i} \widetilde{\mathbf{B}}_{j}}{(x_{g} - f_{i,j})} + \mathbf{I}(x_{g}) \end{split}$$

where  $\mathbf{I}(x)$  is a polynomial matrix of maximum degree  $\delta + 2T - 2$ 

**3** The worker then partitions the matrices and encodes them using the encoding parameters:

$$\widehat{\mathbf{A}}_k = \sum_{i=1}^{mL_A} \widetilde{\mathbf{A}}_i a_{i,k}(x_g), \widehat{\mathbf{B}}_k = \sum_{j=1}^{nL_B} \widetilde{\mathbf{B}}_j b_{j,k}(x_g)$$

**4** The worker computes and outputs:

$$\sum_{k=1}^{r} \widehat{\mathbf{A}}_{k} \widehat{\mathbf{B}}_{k} = \sum_{k=1}^{r} \sum_{i=1}^{mL_{A}} \sum_{j=1}^{nL_{B}} \widetilde{\mathbf{A}}_{i} \widetilde{\mathbf{B}}_{j} a_{i,k}(x_{g}) b_{j,k}(x_{g})$$
$$= \sum_{k=1}^{r} \left( \sum_{(i,j)\in\mathcal{Q}_{k}} \frac{\gamma^{i,j,k} \widetilde{\mathbf{A}}_{i} \widetilde{\mathbf{B}}_{j}}{(x_{g} - f_{i,j})} + \sum_{i=1}^{mL_{A}} \sum_{j=1}^{nL_{B}} \widetilde{\mathbf{A}}_{i} \widetilde{\mathbf{B}}_{j} \beta_{i,j,k}(x_{g}) \right)$$
$$= \sum_{(i,j)\in\widetilde{\mathcal{S}}} \frac{\gamma^{i,j,k_{i,j}} \widetilde{\mathbf{A}}_{i} \widetilde{\mathbf{B}}_{j}}{(x_{g} - f_{i,j})} + \mathbf{I}(x_{g})$$

where I(x) is a polynomial matrix of maximum degree  $\delta + 2T - 2$ 

Decoding utilizing Rational Function Interpolation

**1** The master now gets evaluations of the following function

$$\sum_{(i,j)\in\widetilde{\mathcal{S}}}\frac{\gamma^{i,j,k}\widetilde{\mathbf{A}}_{i}\widetilde{\mathbf{B}}_{j}}{(x-f_{i,j})}+\mathbf{I}(x)$$

#### Decoding utilizing Rational Function Interpolation

**1** The master now gets evaluations of the following function

$$\sum_{(i,j)\in\widetilde{\mathcal{S}}}\frac{\gamma^{i,j,k}\widetilde{\mathbf{A}}_{i}\widetilde{\mathbf{B}}_{j}}{(x-f_{i,j})}+\mathbf{I}(x)$$

2 This function has  $|\widetilde{\mathcal{S}}| = |\mathcal{S}|mn$  rational terms and  $\delta + 2T - 1 = \frac{|\mathcal{S}|mn}{r} + 2T - 1$  polynomial terms

#### Decoding utilizing Rational Function Interpolation

**1** The master now gets evaluations of the following function

$$\sum_{(i,j)\in\widetilde{\mathcal{S}}}\frac{\gamma^{i,j,k}\widetilde{\mathbf{A}}_{i}\widetilde{\mathbf{B}}_{j}}{(x-f_{i,j})}+\mathbf{I}(x)$$

- 2 This function has  $|\tilde{\mathcal{S}}| = |\mathcal{S}|mn$  rational terms and  $\delta + 2T 1 = \frac{|\mathcal{S}|mn}{r} + 2T 1$  polynomial terms
- **3** Can be interpolated from  $\left(\frac{r+1}{r}\right)|\mathcal{S}|mn+2T-1|$  evaluations

#### Achievability

Given parameters m, n, r such that r|mn, our scheme achieves Recovery Threshold:  $R = \left(\frac{r+1}{r}\right)|\mathcal{S}|mn+2T-1$ Normalized Download Cost:  $\frac{R}{|\mathcal{S}|} \times \frac{\alpha^2}{mn} = \alpha^2 \left(\frac{r+1}{r} + \frac{2T-1}{|\mathcal{S}|mn}\right)$ Normalized Computational Complexity:  $\mathcal{O}\left(\frac{\alpha^3 r}{|\mathcal{S}|mn}\right)$ 

#### Achievability

Given parameters m, n, r such that r|mn, our scheme achieves  $Recovery Threshold: R = \left(\frac{r+1}{r}\right)|\mathcal{S}|mn + 2T - 1$   $Normalized Download Cost: \frac{R}{|\mathcal{S}|} \times \frac{\alpha^2}{mn} = \alpha^2 \left(\frac{r+1}{r} + \frac{2T-1}{|\mathcal{S}|mn}\right)$  $Normalized Computational Complexity: <math>\mathcal{O}\left(\frac{\alpha^3 r}{|\mathcal{S}|mn}\right)$ 

#### Achievability

Given parameters m, n, r such that r|mn, our scheme achieves Recovery Threshold:  $R = \left(\frac{r+1}{r}\right)|\mathcal{S}|mn+2T-1$ Normalized Download Cost:  $\frac{R}{|\mathcal{S}|} \times \frac{\alpha^2}{mn} = \alpha^2 \left(\frac{r+1}{r} + \frac{2T-1}{|\mathcal{S}|mn}\right)$ Normalized Computational Complexity:  $\mathcal{O}\left(\frac{\alpha^3 r}{|\mathcal{S}|mn}\right)$ 

#### Achievability

Given parameters m, n, r such that r|mn, our scheme achieves  $Recovery Threshold: R = \left(\frac{r+1}{r}\right)|\mathcal{S}|mn+2T-1$   $Normalized Download Cost: \frac{R}{|\mathcal{S}|} \times \frac{\alpha^2}{mn} = \alpha^2 \left(\frac{r+1}{r} + \frac{2T-1}{|\mathcal{S}|mn}\right)$  $Normalized Computational Complexity: <math>\mathcal{O}\left(\frac{\alpha^3 r}{|\mathcal{S}|mn}\right)$ 











**1** Background and Motivation

**2** Our Scheme

**3** Privacy from the Master

Privacy from the Master (PFM)

> Privacy from the master (PFM) is another privacy constraint where the master cannot learn anything beyond what they requested

# Privacy from the Master (PFM)

- > Privacy from the master (PFM) is another privacy constraint where the master cannot learn anything beyond what they requested
- In the context of FPGMM, the master cannot learn anything more about the matrix libraries given the messages it receives, beyond the information that C<sub>S</sub> provides
# Privacy from the Master (PFM)

- > Privacy from the master (PFM) is another privacy constraint where the master cannot learn anything beyond what they requested
- In the context of FPGMM, the master cannot learn anything more about the matrix libraries given the messages it receives, beyond the information that C<sub>S</sub> provides
- Batch size privacy incurs significant overhead with current state of the art techniques for PFM

## Current Approaches to Handling PFM

 Most coded computation techniques encode desired terms into certain polynomial/rational basis functions

## Current Approaches to Handling PFM

- Most coded computation techniques encode desired terms into certain polynomial/rational basis functions
- Thus, a natural solution to achieve PFM is adding random noise to the basis functions that do not contain the desired terms

### Current Approaches to Handling PFM

- Most coded computation techniques encode desired terms into certain polynomial/rational basis functions
- Thus, a natural solution to achieve PFM is adding random noise to the basis functions that do not contain the desired terms
- > Example: Generalized CSA codes with Noise Alignment (Chen '21) achieve PFM by adding noise to the polynomial terms (and a few rational terms)



Tauz, Dolecek (UCLA)

# Privacy from the Master limits solutions to FPGMM



To guarantee PFM, workers need to add a pessimistic amount of noise which significantly increases the recovery threshold

# Privacy from the Master limits solutions to FPGMM



▶ We are currently researching novel techniques to address this issue based on recent advances in coded computation



 We initiated the first investigation into batch size privacy for coded computation

### Summary

- We initiated the first investigation into batch size privacy for coded computation
- Introduced the novel problem of FPGMM that highlights the key issues of the new privacy model

### Summary

- We initiated the first investigation into batch size privacy for coded computation
- Introduced the novel problem of FPGMM that highlights the key issues of the new privacy model
- > We provided an achievable scheme utilizing CSA-like codes that guarantees privacy, offers good straggler resilience, and provides flexible communication and computation costs

### Summary

- We initiated the first investigation into batch size privacy for coded computation
- Introduced the novel problem of FPGMM that highlights the key issues of the new privacy model
- > We provided an achievable scheme utilizing CSA-like codes that guarantees privacy, offers good straggler resilience, and provides flexible communication and computation costs
- > We highlighted that batch size privacy also complicates other privacy models such as **privacy from the master** and discuss our ongoing work into the topic

#### References

- (Gasca '89) M. Gasca et al.,"Computation of rational interpolants with prescribed poles", Journal of Computation and Applied Math, 1989
- (Olshevsky '01) V. Olshevsky and A. Shokrollahi, "A superfast algorithm for confluent rational tangential interpolation problem via matrix-vector multiplication for confluent cauchy-like matrices," *Structured Matrices in Mathematics, Computer Science, and Engineering I*, 2001.
- ▶ (Sun '18) H. Sun et al., "The capacity of private computation." IEEE TIT 2018
- (Raviv '19) N. Raviv et al., "Private polynomial computation from Lagrange encoding." IEEE Transactions on Information Forensics and Security, 2019
- (Kim '19) M. Kim et al., "Private coded matrix multiplication," *IEEE Transactions* on Information Forensics and Security, 2019
- (Yu '20) Q. Yu et al.,"Entangled Polynomial Codes for Secure, Private, and Batch Distributed Matrix Multiplication: Breaking the "Cubic" Barrier", ISIT 2020
- (Chen '21) Chen, Zhen, et al. "GCSA codes with noise alignment for secure coded multi-party batch matrix multiplication." *IEEE JSAIT*, 2021
- (Zhu '21) J. Zhu et al., "Improved constructions for secure multi-party batch matrix multiplication." *IEEE TCOM* 2021
- (Zhu '22) J. Zhu and S. Li, "A systematic approach towards efficient private matrix multiplication," *IEEE JSAIT*, 2022